Documents for Audit Preparation
(to be sent to the certification body in advance according to Chapter 5.1.2 GS Certification Program)

Requirement	Data or Link to Document	If applicable, responsible person in the company	Notes / Comments
Company Name:	License No.:	Audit Period / Collection / Season:	Date:
a. Positive decision of the program owner regarding eligibility to apply;			according to Procurement Regulations of the GS Association (Co-Applicable Document 20)
b. Names and addresses of the company's locations;			
c. The company's own and outsourced activities and processes related to the Green Shape Standard;			
d. List of product classes, product class specifications and products to be certified as Green Shape products;			according to Co-Applicable Document 02
e. Additional information on their supply chains, at least an overview of all production countries of the first and second upstream value creation stages (Tier 1 and Tier 2 supply chain), and			
f. Number, name, address including country of all suppliers of the first and second upstream value creation stages (Tier 1 and Tier 2), and			
g. The type of process steps carried out at each location; and			
h. The description of the relevant production periods for the products to be certified according to Co-Applicable Document 01; and			see worksheet "Products + Processes" ID # 1 and ID # 2
i. The duration of cooperation with the company and the identification of new suppliers;			
j. A comprehensive risk analysis of the company on its supply chain (Tier 1 and Tier 2) based on the OECD sector risks[1]			
k. Number and scope of collections per fiscal year;			
l. Audit reports of previously conducted certifications according to the Green Shape Standard, if conducted by other certification bodies;			
m. Relationships of the company within a larger corporate entity if relevant;			
n. Organizational chart;			
o. Personnel and technical resources of the company;			

p. Self-assessment of the company according to the form provided on the program owner's website (Co-Applicable Document 23);	Mitgeltendes Dokument 23 (All worksheets of this document completed in full)
q. Any additional documents that the certification body deems necessary.	

[1] https://www.oecd.org/publications/oecd-due-diligence-guidance-for-responsible-supply-chains-in-the-garment-and-footwear-sector-9789264290587-en.htm

Green Shape Standard

GS3.1_V1.1_As of July 2026
GS Standard Co-Applicable Document No. 23

Company:
License ID:

CRITERIA & TEST EVIDENCE						SELF-ASSESSMENT Process Requirements	
ID # Phase	**Product Life Cycle Phase**	**Product Development Objective**	**ID # Criterion**	**Requirements (Processes)**	**Test Evidence**	***Please check here if criterion is fulfilled***	***Brief Process Description and/or Notes***
A	Planning and Supply Chain Management	Supply Chain Transparency	1	The company has a documented supplier management system that establishes complete transparency over the supply chains of Green Shape products between fiber/granulate production (Tier 4) and end product (Tier 1). This includes the clear assignment of supplier relationships ("who supplies whom with what") to ensure that raw materials have been processed according to the requirements in Phase C across the various value creation stages in the Green Shape certified end product. It also includes a process for dealing with extraordinary changes within the supply chains and/or production periods (review, update).	• Evaluation of whether the company's supplier management is suitable for establishing transparency over the supply chains of Green Shape products between fiber/granulate production (Tier 4) and end product (Tier 1), as well as for dealing with extraordinary changes in the supply chain and/or production periods. If a supply chain is certified according to a third-party Chain-of-Custody standard recognized in accordance with the procedural instructions in Co-Applicable Document 05, the proof can be provided via corresponding transaction certificates at the material level (fabrics/trims). If the supply chain is not certified according to a recognized third-party Chain-of-Custody standard, the proof is provided via other suitable documents, such as scope certificates of the individual value creation stages, supplier confirmations, IT-based verification of supplier relationships. • Random verification of one supply chain per product class from the total sample (according to procedural instructions in Co-Applicable Document 41), whether the supply chains for the minimum proportions defined in Chapter 4 per product class can be verified without gaps	☐	
		Production Periods	2	The company has a documented process description of the timelines of its product development and production for all Green Shape products covered by the certification period. It contains at least a temporal definition of the production periods at: - Raw material level (Tier 4, Phase C), - Material level (Tier 2; textile fabrics and trims / Tier 3 plastic granulates; Phase D, E, F) and - End product level (Tier 1; Phase G) for all Green Shape products within the certification period.	Evaluation of the documented process description of the production periods for plausibility. Note: This process description also serves as the basis for ensuring that test evidence from third-party standards recognized (according to procedural instructions in Co-Applicable Document 05) is valid at the time of production of raw materials, materials and end products.	☐	

B	Design Phase	Repairability	3	Repairability Assessment System: The repairability of products is reproducibly verified during product development through a standardized assessment system based on objective criteria and measured values. This includes at least the assessment of design/construction and workmanship, easy accessibility of spare parts, time required for repair and the technical expertise required for repair, as well as the anchoring of the assessment in the product development process.	Evaluation of the standardized assessment system with regard to its suitability for measuring repairability based on the aspects defined in the requirements and for anchoring its assessment in the product development process.	☐	
		Recyclability	6	Recyclability: The objective is to use single-material composites and recyclable materials/raw materials. Their recyclability is assessed based on objective criteria that consider at least the theoretical technical recyclability of the raw material as well as the availability of suitable recycling infrastructure in practice.	Recyclability: Evaluation of the assessment of the recyclability of materials based on objective criteria.	☐	
C	Material (Raw Material) Selection (Sustainable Material Content Share)	Raw Material Selection	10	The company has a documented process to ensure the selection of recycled and/or renewable raw materials in product development. This includes a system for managing the certifications of these raw materials according to third-party standards recognized (according to procedural instructions in Co-Applicable Document 05), which covers at least the following aspects: -Name of the third-party standard including version/revision status -Validity period of the certificate matching the production period according to (ID 2) -Authorization of the issuing certification body (accreditation or formal authorization by the respective standard setter) -Designation of the raw materials and their manufacturer covered by the certificate -Measures for timely request of a follow-up certificate before the validity period expires -Corrective measures in case of loss/withdrawal of the certificate	Evaluation of whether the process is suitable to fulfill the requirement for raw material selection and whether it is implemented in the company's operational processes.	☐	

D	Chemical Management	Chemical Management (Company & Supply Chain)	12	For all Green Shape products, the following apply as published at the time of the production period of the materials (fabrics and trims) (cf. ID 2) and recognized according to procedural instructions in Co-Applicable Document 05: - Manufacturing Restricted Substance List (MRSL) of the Zero Discharge of Hazardous Chemicals Foundation (ZDHC) - Wastewater Guideline of the ZDHC - including any transition periods formulated therein. For all Green Shape products, the following apply as published at the time of the production period of the materials (finished fabrics and trims) and end products (cf. ID 2): - the Restricted Substance List (RSL) of bluesign® technologies ag, - including any transition periods formulated therein. The company has a documented chemical management system (consisting at least of a manual, risk-based management approach, management review, process description, methodologies for sampling and testing, anchoring in the budget) that ensures compliance with the requirements of the current versions of the ZDHC MRSL and the ZDHC Wastewater Guideline (manufacturing processes) as well as the bluesign® RSL (end products). The chemical management also covers "non-nominated" (materials procured by the producer themselves). It applies regardless of the minimum proportions defined per product class (cf. Chapter 3).	1. Evaluation of whether the standard user's chemical management is suitable to ensure compliance with the requirements of the MRSL, Wastewater Guideline and RSL. (Document review); 2. Verification of the currency of the MRSL, Wastewater Guideline and RSL for the respective production period according to ID #1 based on the version number according to the ZDHC and bluesign websites). 3. Random verification of the standard user's process for conducting risk-based random substance testing on fabrics and trims as well as end products, and wastewater testing of production facilities; 4. Random verification of test results from No. 2 and 3 for conformity with the current versions of the MRSL (based on the Wastewater Guideline) and RSL.	☐	
E	Material Production / Finishing (Tier 2 / Tier 3)	Supplier Management Material Production	14	The company has a documented process to ensure the selection of qualified material suppliers. This includes a systematic supplier assessment as well as a procedure for managing third-party certificates recognized (according to procedural instructions in Co-Applicable Document 05) according to at least the following aspects: - Name of the third-party standard including version/revision status - Validity period of the certificate matching the production period according to (ID 2) - Authorization of the issuing certification body (accreditation or formal authorization by the respective standard setter) - Designation of the manufacturing processes and operating sites covered by the certificate - Measures for timely request of a follow-up certificate before the validity period expires - Corrective measures in case of loss/withdrawal of the certificate	Evaluation of whether the process is suitable to fulfill the requirement for supplier selection and whether it is implemented in the company's operational processes.	☐	
F	Materials (Fabrics and Trims)	Selection of Fabrics and Trims in Product Development	16	The company has a documented process to ensure the selection of materials (fabrics and trims) that have been manufactured taking environmental aspects into account. This includes a procedure for managing third-party certificates recognized (according to procedural instructions in Co-Applicable Document 05) according to at least the following aspects: - Name of the third-party standard including version/revision status - Validity period of the certificate matching the production period according to (ID 2) - Authorization of the issuing certification body (accreditation or formal authorization by the respective standard setter) - Designation of the fiber/material blends covered by the certificate - Measures for timely request of a follow-up certificate before the validity period expires - Corrective measures in case of loss/withdrawal of the certificate	Evaluation of whether the process is suitable to fulfill the requirement for material selection and whether it is implemented in the company's operational processes.	☐	

H	Use Phase	Repair	21	The company promotes the longest possible use of products and their repair through appropriate services and offerings, including at least consumer awareness, own repair service or cooperations, repair instructions, spare parts.	Verification of the standard user's services and offerings that enable repair.	☐	
I	End of Product Life	Disposal / Recycling	22	The company promotes the longest possible use of products and their recycling at the end of their use phase through appropriate services and offerings, including at least consumer awareness, possibly also others such as information on take-back points, etc.	Verification of the standard user's services and offerings that enable recycling.	☐	